

## Office of the Secretary, Interior

## § 45.1

### DOCUMENT FILING AND SERVICE

- 45.11 What are the form and content requirements for documents under this subpart?
- 45.12 Where and how must documents be filed?
- 45.13 What are the requirements for service of documents?

### INITIATION OF HEARING PROCESS

- 45.20 What supporting information must a bureau provide with its preliminary conditions or prescriptions?
- 45.21 How do I request a hearing?
- 45.22 How do I file a notice of intervention and response?
- 45.23 When will hearing requests be consolidated?
- 45.24 How will the bureau respond to any hearing requests?
- 45.25 What will DOI do with any hearing requests?
- 45.26 What regulations apply to a case referred for a hearing?

### GENERAL PROVISIONS RELATED TO HEARINGS

- 45.30 What will the Hearings Division do with a case referral?
- 45.31 What are the powers of the ALJ?
- 45.32 What happens if the ALJ becomes unavailable?
- 45.33 Under what circumstances may the ALJ be disqualified?
- 45.34 What is the law governing ex parte communications?
- 45.35 What are the requirements for motions?

### PREHEARING CONFERENCES AND DISCOVERY

- 45.40 What are the requirements for prehearing conferences?
- 45.41 How may parties obtain discovery of information needed for the case?
- 45.42 When must a party supplement or amend information it has previously provided?
- 45.43 What are the requirements for written interrogatories?
- 45.44 What are the requirements for depositions?
- 45.45 What are the requirements for requests for documents or tangible things or entry on land?
- 45.46 What sanctions may the ALJ impose for failure to comply with discovery?
- 45.47 What are the requirements for subpoenas and witness fees?

### HEARING, BRIEFING, AND DECISION

- 45.50 When and where will the hearing be held?
- 45.51 What are the parties' rights during the hearing?
- 45.52 What are the requirements for presenting testimony?

- 45.53 How may a party use a deposition in the hearing?
- 45.54 What are the requirements for exhibits, official notice, and stipulations?
- 45.55 What evidence is admissible at the hearing?
- 45.56 What are the requirements for transcription of the hearing?
- 45.57 What is the standard of proof?
- 45.58 When will the hearing record close?
- 45.59 What are the requirements for post-hearing briefs?
- 45.60 What are the requirements for the ALJ's decision?

### Subpart C—Alternatives Process

- 45.70 How must documents be filed and served under this subpart?
- 45.71 How do I propose an alternative?
- 45.72 What will the bureau do with a proposed alternative?
- 45.73 How will the bureau analyze a proposed alternative and formulate its modified condition or prescription?
- 45.74 Has OMB approved the information collection provisions of this subpart?

AUTHORITY: 16 U.S.C. 797(e), 811, 823d.

SOURCE: 70 FR 69829, Nov. 17, 2005, unless otherwise noted.

### Subpart A—General Provisions

#### § 45.1 What is the purpose of this part, and to what license proceedings does it apply?

(a) *Hearing process.* (1) The regulations in subparts A and B of this part contain rules of practice and procedure applicable to hearings on disputed issues of material fact with respect to mandatory conditions and prescriptions that the Department of the Interior (DOI) may develop for inclusion in a hydropower license issued under subchapter I of the Federal Power Act (FPA), 16 U.S.C. 791 *et seq.* The authority to develop these conditions and prescriptions is granted by FPA sections 4(e) and 18, 16 U.S.C. 797(e) and 811, which authorize the Secretary of the Interior to condition hydropower licenses issued by the Federal Energy Regulatory Commission (FERC) and to prescribe fishways.

(2) The hearing process under this part does not apply to recommendations that DOI may submit to FERC under FPA section 10(a) or (j), 16 U.S.C. 803(a), (j).

(3) The FPA also grants the Department of Agriculture the authority to

## § 45.2

develop mandatory conditions, and the Department of Commerce the authority to develop mandatory prescriptions, for inclusion in a hydropower license. Where DOI and either or both of these other Departments develop conditions or prescriptions to be included in the same hydropower license and where the Departments agree to consolidate the hearings under § 45.23:

(i) A hearing conducted under this part will also address disputed issues of material fact with respect to any condition or prescription developed by one of the other Departments; or

(ii) A hearing requested under this part will be conducted by one of the other Departments, pursuant to 7 CFR 1.601 *et seq.* or 50 CFR 221.1 *et seq.*, as applicable.

(4) The regulations in subparts A and B of this part will be construed and applied to each hearing process to achieve a just and speedy determination, consistent with adequate consideration of the issues involved and the provisions of § 45.60(a).

(b) *Alternatives process.* The regulations in subparts A and C of this part contain rules of procedure applicable to the submission and consideration of alternative conditions and prescriptions under FPA section 33, 16 U.S.C. 823d. That section allows any party to the license proceeding to propose an alternative to a condition deemed necessary by DOI under section 4(e) or a fishway prescribed by DOI under section 18.

(c) *Reservation of authority.* Where DOI notifies FERC that it is reserving its authority to develop one or more conditions or prescriptions during the term of the license, the hearing and alternatives processes under this part for such conditions or prescriptions will be available if and when DOI exercises its reserved authority. DOI will consult with FERC and notify the license parties regarding how to initiate the hearing process and alternatives process at that time.

(d) *Applicability.* (1) This part applies to any hydropower license proceeding for which the license has not been issued as of November 17, 2005 and for which one or more preliminary conditions, conditions, preliminary prescrip-

## 43 CFR Subtitle A (10–1–07 Edition)

tions, or prescriptions have been or are filed with FERC.

(2) If DOI has already filed one or more preliminary conditions, conditions, preliminary prescriptions, or prescriptions as of November 17, 2005, the special applicability provisions of § 45.4 also apply.

### § 45.2 What terms are used in this part?

As used in this part:

*ALJ* means an administrative law judge appointed under 5 U.S.C. 3105 and assigned to preside over the hearing process under subpart B of this part.

*Alternative* means a condition or prescription that a license party other than a bureau or Department develops as an alternative to a preliminary condition or prescription from a bureau or Department, under FPA sec. 33, 16 U.S.C. 823d.

*Bureau* means any of the following organizations within DOI that develops a preliminary condition or prescription: the Bureau of Indian Affairs, Bureau of Land Management, Bureau of Reclamation, Fish and Wildlife Service, or National Park Service.

*Condition* means a condition under FPA sec. 4(e), 16 U.S.C. 797(e), for the adequate protection and utilization of a reservation.

*Day* means a calendar day.

*Department* means the Department of Agriculture, Department of Commerce, or Department of the Interior.

*Discovery* means a prehearing process for obtaining facts or information to assist a party in preparing or presenting its case.

*DOI* means the Department of the Interior, including any bureau, unit, or office of the Department, whether in Washington, DC, or in the field.

*Ex parte communication* means an oral or written communication to the ALJ that is made without providing all parties reasonable notice and an opportunity to participate.

*FERC* means the Federal Energy Regulatory Commission.

*FPA* means the Federal Power Act, 16 U.S.C. 791 *et seq.*

*Hearings Division* means the Departmental Cases Hearings Division, Office of Hearings and Appeals, Department of the Interior, 139 E. South Temple,